13.10 Late Report – Drought Communities Program

REPORT AUTHOR: ACEO
RESPONSIBLE MANAGER: ACEO
RECORDS REF: N/A
STRATEGIC LINKS: Yes; Confident & Contributing Community, Objective 1
FINANCIAL IMPLICATIONS:

<table>
<thead>
<tr>
<th>Impact</th>
<th>Grant Income</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$1m</td>
</tr>
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REPORT:

Background:
Notification was received late afternoon Monday 25 March 2019 that Berri Barmera Council are eligible to receive $1 million in funding under the Commonwealth Government’s Drought Communities Programme.

Discussion:
The objective of the funding is to provide immediate short-term support to communities facing hardship as a result from drought. The funded projects should provide local employment, stimulate local community spending and encourage the use of local resources, businesses and suppliers which all have a flow on effect by providing longer term economic benefits to the community.

Council have not yet received the Program Guidelines with timeframes that would be applicable to Berri Barmera Council, however it is expected the criteria around eligible activities will be the same as the earlier program that neighbouring councils received.

Attached is a copy of the previous guidelines released September/October 2018 that provide indication as to the type of projects that can be funded but given the requirement to have the funds fully expended by 30 September 2019 it would be very difficult to deliver projects that require design, planning approval and build within the time frames.

Suggested projects –
- Berri Riverfront Wharf (council 50%)
- Hard Waste Collection
- Additional requirements at Berri Riverfront wharf including
  - lighting, programmable display
  - rendering swim steps wall and lighting
  - picnic seating at swim steps apron
  - signage at pump shed Berri
- Monash Township revamp of medians, seating, town hall landscaping
- Additional playground equipment and installation of shade sail at Berri Riverfront playground
- Berri No. 1 oval irrigation upgrade
- Cobdogla Oval Irrigation upgrade
- Barmera Memorial oval irrigation upgrade
- Additional disability playground equipment at Monash Adventure Park
- Upgrade to Barmera Soldiers Memorial Hall
- Solar on town hall/civic centre and Council depot buildings
This report is provided for information at this stage and to request discussion and feedback from Elected Members. The above list is not an exhaustive list and are indicative of projects that can be reasonably achieved within the limited timeframes.

A further report will be brought back to Council with a firmer list of projects and direction as to the preferred projects.

RECOMMENDATION:
That Council, having considered Report 13.10 titled “Late Report – Drought Communities Program” presented to the ordinary council meeting, receive and note the report.

ATTACHMENTS: Yes
Attachment 1 - Previous guidelines for the Drought Communities Programme.
### Drought Communities Programme - Extension

<table>
<thead>
<tr>
<th><strong>Opening date:</strong></th>
<th>September 2018</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Closing date:</strong></td>
<td>N/A</td>
</tr>
<tr>
<td><strong>Commonwealth policy entity:</strong></td>
<td>Department of Infrastructure, Regional Development and Cities</td>
</tr>
<tr>
<td><strong>Administering entity</strong></td>
<td>Department of Industry, Innovation and Science</td>
</tr>
<tr>
<td><strong>Enquiries:</strong></td>
<td>If you have any questions, contact us at business.gov.au.</td>
</tr>
<tr>
<td><strong>Date guidelines released:</strong></td>
<td>September 2018 and updated October 2018</td>
</tr>
<tr>
<td><strong>Type of grant opportunity:</strong></td>
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</tr>
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A message from the Minister

There are a number of communities that are currently suffering through drought. The Government is focused on supporting communities that are currently affected by the drought to help them remain living and working on the land and in their towns.

Local government is the closest tier of government to the community, and plays an essential role in delivering services and infrastructure for all Australians.

The Australian Government is standing shoulder to shoulder with farmers in rural, regional and remote communities across Australia to ensure they can survive the severe and widespread drought that has affected so many.

As part of our $1.8 billion drought support package, we have provided an $81 million extension to the Drought Communities Programme to support communities in the most drought-affected regions of Australia.

This program will provide 81 Councils with up to $1 million each to support regional communities through the drought. This funding is intended to provide immediate short-term support to communities facing hardship arising from drought. It will fund projects that provide local employment, keep money flowing through local shops and suppliers and support drought-relief activities.

The Drought Communities Programme has a track record of getting it done, with 150 projects funded to date. This is another way the Australian Government is tackling drought, and working together with local communities.

These guidelines for the Drought Communities Programme – Extension have been broadened to allow for a range of additional drought-relief activities to be funded, including drought relief events and other drought relief related activities. This gives councils the flexibility to choose projects that will have the biggest impact in their community.

The Government looks forward to partnering with communities in regional Australia to tackle the effects of the drought.

Senator the Hon Bridget McKenzie

Minister for Regional Services, Sport, Local Government and Decentralisation
1. **Drought Communities Programme processes**

The Drought Communities Programme is designed to achieve Australian Government objectives. This grant opportunity is part of the above Grant Programme which contributes to the Department of Infrastructure, Regional Development and Cities Outcome 3. The Department works with stakeholders to plan and design the grant programme according to the Commonwealth Grants Rules and Guidelines.

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**The grant opportunity opens**

Eligible Councils are invited to submit project proposals via an online application on [business.gov.au](http://business.gov.au). We will publish grant guidelines and applicant information on [business.gov.au](http://business.gov.au) and [GrantConnect](http://GrantConnect).

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**Invited Eligible Councils complete and submit a grant application**

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**We assess all grant applications**

We assess the applications for completeness and against all the eligibility criteria.

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**We make grant recommendations**

We provide advice to the decision maker on the merits of each application.

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**Grant decisions are made**

The Program Delegate decides which applications are successful taking into consideration the proper use of public resources.

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**We notify you of the outcome**

We advise you of the outcome of your application.

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**We enter into a grant agreement**

We will enter into a grant agreement with successful Eligible Councils.

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**Delivery of grant**

You undertake the grant activity as set out in your grant agreement. We manage the grant by working with you, monitoring your progress and making payments.

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**Evaluation of the Drought Communities Programme grant opportunity**

We evaluate the specific grant activity and Drought Communities Programme as a whole. We base this on information you provide to us and that we collect from various sources.
2. **About the grant program**

The extension of the Drought Communities Programme (the program) will provide funding of $81 million in 2018-19 to Eligible Councils to deliver benefits to targeted drought-affected regions of Australia. The program will support local community infrastructure and other drought relief projects for communities who have been impacted by drought.

The objectives of the program are to deliver support to targeted drought-affected regions of Australia by funding:

- local community infrastructure and
- other drought relief projects.

Funding will target infrastructure and other projects that:

- provide employment for people whose work opportunities have been impacted by drought
- stimulate local community spending
- use local resources, businesses and suppliers
- provide a long-lasting benefit to communities and the agricultural industries on which they depend.

The intended outcomes of the program are to:

- increase employment in regions by providing work for locals and/or farmers and fam labourers/staff/contractors whose employment opportunities have been affected by drought
- improve levels of economic activity in regions
- increase productivity in regions
- enable better retention of businesses, services and facilities.

The Department of Industry, Innovation and Science (the department/we) is responsible for administering the grant opportunity on behalf of the Department of Infrastructure, Regional Development and Cities.

We will publish the opening and closing dates and any other relevant information on [business.gov.au](http://www.business.gov.au)¹ and [GrantConnect²](http://www.grants.gov.au/).

We administer the program according to the Commonwealth Grants Rules and Guidelines (CGRGs)³.

This document sets out:

- the eligibility criteria
- how we consider and assess grant applications
- how we monitor and evaluate grantees
- responsibilities and expectations in relation to the grant opportunity.

We have defined key terms used in these guidelines in Appendix A.

You should read this document carefully before you fill out an application.

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2.1. Grant amount and grant period

The Australian Government has announced a total of $81 million in 2018-19 to provide up to $1 million in each Eligible Council.

3. Grants available

Each Eligible Council can receive funding for projects up to $1 million.

- The minimum grant amount per application is $25,000.
- Applications can include multiple unrelated activities up to the total funding amount of $1 million per Eligible Council.

Co-funding from Eligible Councils is not mandatory, but you may access other funding for the project. Cash funding or in-kind support can be provided by any organisation including, but not limited to, the Eligible Council, state government, not-for-profit organisations and private sector companies.

Funding can also form one component of a larger package of Australian Government funding, noting that other funding needs to meet the eligibility criteria of the program from under which it is funded. For example, eligible fencing projects may be part funded under the Pest Animal and Weed Management Program⁴, and eligible road projects may be part funded under the Roads to Recovery Program⁵.

Funding under this grant opportunity cannot be considered to be part of, or all of, a Council’s contribution to projects under the Bridges Renewal Programme, the National Stronger Regions Fund, the Building Better Regions Fund, the Regional Growth Fund or the Heavy Vehicle Safety and Productivity Programme.

If your project is dependent on funding from other sources you must identify these sources and include their level of agreed support.

3.1. Project duration

You may start your project from 19 August 2018.

You must complete your project by 30 June 2019.

4. Eligibility criteria

We cannot consider your application if you do not satisfy all eligibility criteria.

4.1. Who is eligible?

To be eligible you must

- have an Australian Business Number (ABN) and
- be an Eligible Council, listed on business.gov.au and GrantConnect; or
- be invited to apply by the Minister.

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For the purposes of the program, we consider an incorporated organisation that provides council-like services and functions in Far West New South Wales, for example, the Silverton and Tibooburra villages, to be Eligible Councils, although funding will be limited to $1 million across all projects in that unincorporated area.

4.2. Eligible Councils

Eligible Councils that can receive funding under the program are those specified by the Minister responsible for Local Government.

Eligible Councils are listed on business.gov.au and GrantConnect.

The Minister responsible for Local Government in consultation with the Prime Minister and the Minister for Agriculture and Water Resources, can consider approving further Eligible Councils under the program, at their discretion.

The program does not create an entitlement for all drought-affected Councils to receive funding under the program. Program funding is capped at $81 million, and once that ceiling is reached through additional councils being added to the list of Eligible Councils then no other approvals are possible, without a further consideration of the program parameters by the Australian Government.

4.3. Additional eligibility requirements

We can only accept applications:

- submitted by the Chief Executive Officer of an Eligible Council or other council officer authorised to sign a grant agreement.
- that include a declaration that you will comply with specific regulatory requirements as outlined in section 10.3.

5. Eligible grant activities

5.1. Eligible projects

To be eligible your project must:

- be located in an Eligible Council area
- meet project requirements, see section 6
- include eligible activities and eligible expenditure
- have at least $25,000 per application in eligible expenditure
- be undertaken in the project period and completed by 30 June 2019.

5.2. Eligible activities

Eligible activities must directly relate to the project and can include:

- repairs, maintenance, upgrading or building new community facilities
- repairs, maintenance, upgrades, construction and fit-out of community spaces
- employing local contractors to undertake repairs and maintenance
- holding events and
- undertaking other drought relief activities (including water carting for human consumption).

We may also approve other drought relief activities that benefit the community.
5.3. Eligible expenditure

You can only spend grant funds on eligible expenditure you have incurred on an agreed project as defined in your grant agreement.

Eligible expenditure items may include the cost of:
- suppliers, consultants and contracted labour undertaking eligible project activities
- materials required to deliver eligible project activities
- purchasing, leasing or hiring equipment required to deliver eligible project activities
- holding events and
- other drought relief activities (including water carting for human consumption)

Not all expenditure on your project may be eligible for grant funding. The Program Delegate makes the final decision on what is eligible expenditure and may give additional guidance on eligible expenditure if required.

You must incur the project expenditure between the project start and end date for it to be eligible unless stated otherwise. You may commence your project from 19 August 2018, but we will not be responsible for any expenditure you incur until a grant agreement is executed.

5.4. Ineligible expenditure

Examples of ineligible expenditure include:
- payment of salaries for existing staff or contractors, although projects may be carried out by existing workforces
- computer software or hardware that is not an integral part of the funded capital project
- a council’s core or business-as-usual operations, which council rates and other government funding usually funds
- purchases of land, buildings, vehicles or mobile capital equipment (e.g. trucks and earthmoving equipment)
- expenditure incurred prior to 19 August 2018
- undertaking studies or investigations, or
- the development of private or commercial ventures, including licensed areas of registered clubs.

This list is not exhaustive and applies only to the expenditure of the grant funds. Other costs may be ineligible where we decide that they do not directly support the achievement of the planned outcomes for the project or that they are contrary to the objective of the program.

You must ensure you have adequate funds to meet the costs of any ineligible expenditure associated with the project.

6. Project requirements

Each project must meet at least one of the following project requirements.

The project is expected to lead to the employment of locals
- the extent to which farmers and/or farm labourers/staff/contractors are expected to be employed
how the project is expected to lead to the employment of locals and over what period of time they are expected to be employed

- the employment expected to be created beyond the immediate construction phase of the project and into the longer-term

- the indirect employment expected to be created through the potential flow-on effects to local businesses, suppliers, and services.

The project is expected to contribute to the economic activity of communities/regions

- local businesses, suppliers and services are expected to be used to complete the projects

- the project will encourage investment, business activities and other economic benefits to communities/regions.

The project is expected to lead to the retention of businesses, services and facilities

- the benefits that should be delivered as a result of the project, such as increased tourism, increased trade for local businesses, improved services resulting from enhanced facilities

- the number of people that are expected to benefit from the approved project.

7. How to apply

Before applying, you should read and understand these guidelines, the sample application form and the sample grant agreement published on business.gov.au and GrantConnect.

You will need to set up a user account to access our online portal. The portal allows you to apply for and manage grants in secure online environment.

To apply, you must:

- be listed as an Eligible Council or invited by the Minister to submit an application

- complete the online application form through the portal

- provide all the information requested

- address all eligibility criteria

- include all necessary attachments.

We may ask you to justify your project costs. You should have evidence for the costs that you include in your project budget that you can provide on request.

You are responsible for making sure your application is complete and accurate. Giving false or misleading information is a serious offence under the Criminal Code 1995 (Cth). If we consider that you have provided false or misleading information we may not progress your application. If you find an error in your application after submitting it, you should call us immediately on 13 28 46.

If we find an error or information that is missing, we may ask for clarification or additional information from you that will not change the nature of your application. However, we can refuse to accept any additional information from you that would change your submission after the application closing time.

If you need further guidance around the application process or if you are unable to submit an application online contact us at business.gov.au or by calling 13 28 46.

7.1. Attachments to the application

You must attach supporting documentation to the application form in line with the instructions provided within the form. You should only attach requested documents. We will not consider information in attachments that we do not request.
7.2. Timing of grant opportunity

You can only submit an application between the published opening and closing dates.

Table 1: Expected timing for this grant opportunity

<table>
<thead>
<tr>
<th>Activity</th>
<th>Timeframe</th>
</tr>
</thead>
<tbody>
<tr>
<td>Earliest start date of project</td>
<td>19 August 2018</td>
</tr>
<tr>
<td>End date of grant commitment</td>
<td>30 June 2019</td>
</tr>
</tbody>
</table>

8. The selection process

We will assess your application for completeness and against all the eligibility criteria. To be recommended for funding, your project must meet all eligibility criteria as these projects provide the best value for money.

If the selection process identifies unintentional errors in your application, we may contact you to correct or clarify the errors. You may be asked to submit an updated proposal.

You may withdraw your application at any time.

8.1. Final decision

The Program Delegate (an AusIndustry senior responsible officer with responsibility for the program), decides which grants to approve taking into account the application assessment and the availability of grant funds.

The Program Delegate’s decision is final in all matters, including:

- the approval of applications for funding
- the amount of grant funding awarded
- the terms and conditions of funding.

We cannot review decisions about the merits of your application.

9. Notification of application outcomes

If you are successful, you will receive a written offer, including any specific conditions attached to the grant.

If you are unsuccessful, we will notify you in writing and give you an opportunity to discuss the outcome with us.

10. If your application is successful

10.1. Grant agreement

You must enter into a grant agreement with the Commonwealth. We will use the Commonwealth simple grant agreement for this program. A sample grant agreement is available on business.gov.au and GrantConnect.

We will manage the grant agreement through the portal. Accepting the grant agreement through the portal is the equivalent of signing a grant agreement. After you have accepted it, we will execute the agreement. Execute means both you and the Commonwealth Government have entered into the grant agreement. We will notify you when this happens and a copy of the executed
grant agreement will be available through the portal. The grant agreement will not become binding until it is executed.

We must execute a grant agreement with you before we can make any payments. We are not responsible for any expenditure you incur before a grant agreement is executed.

The approval of your grant may have specific conditions determined by the assessment process or other considerations made by the Program Delegate. We will identify these in the offer of funding. The Commonwealth may recover grant funds if there is a breach of the grant agreement.

10.2. Simple grant agreement

You will have 30 days from the date of a written offer to execute this grant agreement with the Commonwealth (‘execute’ means both you and the Commonwealth have signed the agreement). During this time, we will work with you to finalise details. The offer may lapse if both parties do not sign the grant agreement within this time. Under certain circumstances, we may extend this period. We base the approval of your grant on the information you provide in your application. We will review any required changes to these details to ensure they do not impact the project as approved by the Program Delegate.

10.3. Project specific legislation, policies and industry standards

You are required to be compliant with all relevant laws and regulations.

Under State and Territory legislation, it is a requirement for people in roles that have direct, unsupervised contact with children to undertake a working with children/vulnerable people check.

You are responsible for ensuring that you have met relevant State or Territory legislation obligations related to working with children and/or vulnerable people, and that any person that has direct, unsupervised contact with children as part of a project under this program, has undertaken and passed a working with children/vulnerable people check, if required under relevant State or Territory legislation. You are also responsible for assessing the suitability of the people you engage as part of your project to ensure children are kept safe.

We do not provide advice on working with children/vulnerable people legislation, and you are responsible for seeking your own advice from the authority in your relevant State or Territory.

In addition, you will need to complete a risk assessment to identify the level of responsibility for children and the level of risk of harm or abuse, and put appropriate strategies in place to manage those risks. You will also need to establish a training and compliance regime to ensure staff are aware of, and comply with, the risk assessment requirements as well as relevant legislation.

To be eligible, you must declare in your application that you comply with these requirements. You will need to declare you can meet these requirements in your grant agreement with the Commonwealth.

10.4. How we pay the grant

The grant agreement will state the:

- maximum grant amount we will pay
- proportion of eligible expenditure covered by the grant (grant percentage)
- any in-kind contributions you will make
- any additional financial contribution provided by you or a third party.
We will not exceed the maximum grant amount under any circumstances. If you incur extra costs, you must meet them yourself.

We will make an initial payment on execution of the grant agreement. We will make subsequent payments in advance, based on your forecast eligible expenditure as you achieve agreed milestones and adjusted for unspent amounts from previous payments. Payments are subject to satisfactory progress on the project.

We set aside 10 per cent of the total grant funding for the final payment. We will pay this when you submit a satisfactory final report demonstrating you have completed outstanding obligations for the project. We may need to adjust your progress payments to align with available program funds across financial years and/or to ensure we retain a minimum 10 per cent of grant funding for the final payment.

The Program Delegate may approve alternative arrangements on a discretionary basis.

10.5. How we monitor your project

You must submit reports through the portal in line with the grant agreement. We will provide sample templates for these reports as appendices in the grant agreement. You will also be able to download them from business.gov.au and GrantConnect. We will remind you of your reporting obligations before a report is due. We will expect you to report on:

- progress against agreed project milestones
- project expenditure, including expenditure of grant funds.

The amount of detail you provide in your reports should be relative to the project size, complexity and grant amount.

We will monitor the progress of your project by assessing reports you submit and may conduct site visits to confirm details of your reports if necessary. Occasionally we may need to re-examine claims, seek further information or request an independent audit of claims and payments.

10.6. Final report

When you complete the project, you must submit a final report.

Final reports must:

- include the agreed evidence as specified in the grant agreement
- identify the total eligible expenditure incurred for the project
- be submitted by the report due date
- be in the format provided in the grant agreement.

10.7. Ad-hoc report

We may ask you for ad-hoc reports on your project. This may be to provide an update on progress, or any significant delays or difficulties in completing the project.

10.8. Independent audit report

We may ask you to provide an independent audit report. An audit report will verify that you spent the grant in accordance with the grant agreement. The audit report requires you to prepare a statement of grant income and expenditure. The report template is attached to the sample grant agreement.
10.9. Compliance visits

We may visit you during the project period, or at the completion of your project, to review your compliance with the grant agreement. We may also inspect the records you are required to keep under the grant agreement. For large or complex projects, we may visit you after you finish your project. We will provide you with reasonable notice of any compliance visit.

10.10. Grant agreement variations

We recognise that unexpected events may affect project progress. In these circumstances, you can request a variation to your grant agreement through the portal, including:

- changing project milestones
- extending the timeframe for completing the project but within the maximum project period (i.e. no later than 30 June 2019) referred to in section 3.1.
- changing project activities

Note the program does not allow for:

- an increase of grant funds.

If you want to propose changes to the grant agreement, you must put them in writing before the grant agreement end date. We can provide you with a variation request template.

You should not assume that a variation request will be successful. We will consider your request based on factors such as:

- how it affects the project outcome
- consistency with the program policy objective, grant opportunity guidelines and any relevant policies of the department
- changes to the timing of grant payments
- availability of program funds.

10.11. Keeping us informed

You should let us know if anything is likely to affect your project or organisation.

We need to know of any key changes to your organisation or its business activities, particularly if they affect your ability to complete your project, carry on business and pay debts due.

You must also inform us of any changes to your:

- name
- addresses
- nominated contact details
- bank account details.

If you become aware of a breach of terms and conditions under the grant agreement you must contact us immediately.

You must notify us of events relating to your project and provide an opportunity for the Minister or their representative to attend.

10.12. Evaluation

We will evaluate the program to determine the extent to which the funded activity is contributing to the program objectives and outcomes. We may use information from your application and project
reports for this purpose. We may also interview you, or ask you for more information to help us understand how the grant impacted you and to evaluate how effective the program was in achieving its outcomes. We may contact you up to one year after you finish your project for more information to assist with this evaluation.

10.13. Tax obligations

In accordance with the terms of Australian Taxation Office ruling GSTR 2012/2, payments made under the program, which are payments made by a government related entity to another government related entity, do not attract GST. Consequently, funding sought by Eligible Councils in their proposal(s) must exclude the GST component on goods and services and the payments made to Eligible Councils will not include GST.

10.14. Grant acknowledgement

If you make a public statement about a project funded under the program we require you, at a minimum, to acknowledge the grant by using the following:

‘This project received grant funding from the Australian Government.’

If you erect signage in relation to the project, the signage must contain an acknowledgement of the grant. These details will be outlined in the grant agreement.

10.15. Events

We will require you to notify us of events relating to your project and provide opportunity for the Minister or their representative to attend. These requirements will be outlined in your grant agreement.

11. Conflicts of interest

11.1. Your conflict of interest responsibilities

A conflict of interest will occur if your private interests conflict with your obligations under the grant. Conflicts of interest could affect the awarding or performance of your grant. A conflict of interest can be:

- real (or actual)
- apparent (or perceived)
- potential.

We will ask you to declare, as part of your application, any perceived or existing conflicts of interests or that, to the best of your knowledge, there is no conflict of interest.

If you later identify that there is an actual, apparent, or potential conflict of interest or that one might arise in relation to your grant, you must inform us in writing immediately.

11.2. Our conflict of interest responsibilities

We recognise that conflicts of interest may arise with our staff, technical experts, and others delivering the program between:

- their program duties, roles and responsibilities and
- their private interests.
We manage our conflicts of interest according to the APS Code of Conduct (section 13 (7) of the Public Service Act 1999 (Cth)). We publish our conflict of interest policy on the department's website.

Program officials must declare any conflicts of interest. If we consider a conflict of interest is a cause for concern, that official will not take part in the assessment of relevant applications under the program.

12. How we use your information

Unless the information you provide to us is:

- confidential information as per 12.1, or
- personal information as per 12.3,

We may share the information with other government agencies for a relevant Commonwealth purpose such as:

- to improve the effective administration, monitoring and evaluation of Australian Government programs
- for research
- to announce the awarding of grants.

12.1. How we handle your confidential information

We will treat the information you give us as sensitive and therefore confidential if it meets all of the following conditions:

- you clearly identify the information as confidential and explain why we should treat it as confidential
- the information is commercially sensitive
- disclosing the information would cause unreasonable harm to you or someone else
- you provide the information with an understanding that it will stay confidential.

12.2. When we may disclose confidential information

We may disclose confidential information:

- to our Commonwealth employees and contractors, to help us manage the program effectively
- to the Auditor-General, Ombudsman or Privacy Commissioner
- to the responsible Minister or Assistant Minister
- to a House or a Committee of the Australian Parliament.

We may also disclose confidential information if

- we are required or authorised by law to disclose it
- you agree to the information being disclosed, or
- someone other than us has made the confidential information public.

12.3. How we use your personal information

We must treat your personal information according to the Australian Privacy Principles (APPs) and the *Privacy Act 1988* (Cth). This includes letting you know:

- what personal information we collect
- why we collect your personal information
- to whom we give your personal information.

We may give the personal information we collect from you to our employees and contractors, other Commonwealth employees and contractors, so we can:

- manage the program
- research, assess, monitor and analyse our programs and activities.

We, or the Minister, may:

- announce the names of successful applicants to the public
- publish personal information on the department’s websites.

You may read our [Privacy Policy](http://www.industry.gov.au/Pages/PrivacyPolicy.aspx) on the department’s website for more information on:

- what is personal information
- how we collect, use, disclose and store your personal information
- how you can access and correct your personal information.

12.4. Public announcement

We will publish non-sensitive details of successful projects on GrantConnect and business.gov.au. We are required to do this by the *Commonwealth Grants Rules and Guidelines* and the [Australian Government Public Data Policy Statement](http://www.dpmc.gov.au/resource-centre/data/australian-government-public-data-policy-statement), unless otherwise prohibited by law. This information may include:

- name of your organisation
- title of the project
- description of the project and its aims
- amount of grant funding awarded
- Australian Business Number
- business location
- your organisation’s industry sector.

We publish this information to ensure open access to non-sensitive data within Australian Government agencies to enable greater innovation and productivity across all sectors of the Australian economy.

12.5. Freedom of information

All documents in the possession of the Australian Government, including those about the program, are subject to the *Freedom of Information Act 1982* (Cth) (FOI Act).

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7 http://www.industry.gov.au/Pages/PrivacyPolicy.aspx

The purpose of the FOI Act is to give members of the public rights of access to information held by the Australian Government and its entities. Under the FOI Act, members of the public can seek access to documents held by the Australian Government. This right of access is limited only by the exceptions and exemptions necessary to protect essential public interests and private and business affairs of persons in respect of whom the information relates.

If someone requests a document under the FOI Act, we will release it (though we may need to consult with you and/or other parties first) unless it meets one of the exemptions set out in the FOI Act.

13. Enquiries and feedback

For further information or clarification, you can contact us on 13 28 46 or by web chat or through our online enquiry form on business.gov.au.

We may publish answers to your questions on our website as Frequently Asked Questions.

Our Customer Service Charter is available at business.gov.au. We use customer satisfaction surveys to improve our business operations and service.

If you have a complaint, call us on 13 28 46. We will refer your complaint to the appropriate manager.

If you are not satisfied with the way we handle your complaint, you can contact:

Head of Division
AusIndustry - Support for Business
Department of Industry, Innovation and Science
GPO Box 2013
CANBERRA ACT 2601

You can also contact the Commonwealth Ombudsman with your complaint (call 1300 362 072). There is no fee for making a complaint, and the Ombudsman may conduct an independent investigation.

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* http://www.ombudsman.gov.au/*
### Appendix A. Definitions of key terms

<table>
<thead>
<tr>
<th>Term</th>
<th>Definition</th>
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</thead>
<tbody>
<tr>
<td>Application form</td>
<td>The details that applicants provide in the online portal to apply for funding under the grant opportunity.</td>
</tr>
<tr>
<td>AusIndustry</td>
<td>The division of the same name within the department.</td>
</tr>
<tr>
<td>Eligible Council</td>
<td>A council that is eligible to apply for funding under the program as published on business.gov.au and GrantConnect.</td>
</tr>
<tr>
<td>Department</td>
<td>The Department of Industry, Innovation and Science.</td>
</tr>
<tr>
<td>Eligible activities</td>
<td>The activities undertaken by a grantee in relation to a project that are eligible for funding support as set out in 5.2.</td>
</tr>
<tr>
<td>Eligible application</td>
<td>An application or proposal for grant funding under the program that the Program Delegate has determined is eligible for assessment in accordance with these guidelines.</td>
</tr>
<tr>
<td>Eligible expenditure</td>
<td>The expenditure incurred by a grantee on a project and which is eligible for funding support as set out in 5.3.</td>
</tr>
<tr>
<td>Grant agreement</td>
<td>A legally binding contract between the Commonwealth and a grantee for the grant funding</td>
</tr>
<tr>
<td>Grant funding or grant funds</td>
<td>The funding made available by the Commonwealth to grantees under the program.</td>
</tr>
<tr>
<td>Grantee</td>
<td>The recipient of grant funding under a grant agreement.</td>
</tr>
<tr>
<td>Guidelines</td>
<td>Guidelines that the Minister gives to the department to provide the framework for the administration of the program, as in force from time to time.</td>
</tr>
<tr>
<td>Minister</td>
<td>The Commonwealth Minister responsible for Local Government.</td>
</tr>
<tr>
<td>Personal information</td>
<td>Has the same meaning as in the Privacy Act 1988 (Cth) which is:</td>
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<tr>
<td></td>
<td>Information or an opinion about an identified individual, or an individual who is reasonably identifiable:</td>
</tr>
<tr>
<td></td>
<td>a. whether the information or opinion is true or not; and</td>
</tr>
<tr>
<td></td>
<td>b. whether the information or opinion is recorded in a material form or not.</td>
</tr>
<tr>
<td>Program Delegate</td>
<td>An AusIndustry senior responsible officer within the department with responsibility for the program.</td>
</tr>
<tr>
<td>Program funding or Program funds</td>
<td>The funding made available by the Commonwealth for the program.</td>
</tr>
<tr>
<td>Project</td>
<td>A project described in an application for grant funding under the program.</td>
</tr>
</tbody>
</table>
Drought Communities Programme – Extension Sample Application questions

Please note you must complete and submit an online application on the business.gov.au portal.

This document provides a list of questions that you will be asked in the online application on the business.gov.au portal.

Eligibility

You will be invited to apply and will be provided with an eligibility code to access the application in the portal.

You will also be asked to answer the following eligibility questions to progress the application:

- Is your project located in a region within an Eligible Council?
- To be eligible your project must be located in an Eligible Council area.
- Does your project have at least $25,000 in eligible expenditure?
- Will your project be completed by 30th June 2019?
- Will your project lead to the employment of locals?*
- Will your project contribute to the economic activity of communities/regions?*
- Will your project lead to the retention of businesses, services and facilities?*

*Each project must meet at least one of these project requirements.

Application Address

Provide your Business Street Address (Australian Head Office).
Provide your Business Postal Address (Australian Head Office).

Project Information

This page is in five sections.

- In the first section you should provide the details of your project and the expected outcomes.
- In the second section you should provide a summary of details for publication. The project commencement date and project completion date for your project cannot be the same date. Project commencement date cannot be before 19th August 2018.
- In the third section you are required to breakdown your project into milestones. You should include the key activities occurring at each milestone. The start date of milestone 1 is the expected project start date. The end date of your last milestone activity will be the project end
date. Milestone information will not be published. You need to have at least one milestone per project. You can add up to 10 milestones per project.

- In the fourth section you should provide your project location.
- In the fifth section you should explain your risk management strategy.

**Detailed project description and outcomes**

Provide a detailed description of your project including the project scope and key activities.

Provide a summary of the expected project outcomes.

Explain how your project addressed one or more of the following:

- increase employment in regions by providing work for locals
- improve levels of economic activity in regions
- enable better retention of businesses, services and facilities.

**Project details for publication**

Project Title

Project Description

Estimated Project Commencement Date

Estimated Project Completion Date

*You may start your project from 19 August 2018 and you must complete your project by 30 June 2019. Refer to grant opportunity guidelines.*

**Milestones**

Milestone Name, Description, Estimated Start Date, Estimated End Date.

* Your project must have at least one milestone.

**Project location**

Project site/s address

**Project Funding**

**Project budget summary**

Provide a summary of your eligible project costs over the life of the project. Amounts must be GST exclusive. We only provide grant funding based on eligible expenditure. Refer to the grant opportunity guidelines for guidance on eligible expenditure.

**Project Budget**

Eligible expenditure include:

- Suppliers, consultants and contracted labour
- Materials
- Equipment
- Other costs

*Where you have included ‘other expenditure’ please provide details. For projects over $500,000, other costs can include the cost of an independent audit of project expenditure up to a maximum of 1 per cent of total eligible project expenditure. Where you have not included ‘other expenditure’ insert nil or N/A if you do not intend to allocate any expenditure to other items.

You must confirm:

- Total Commonwealth funding sought.
Other source of funding

*You may access other funding for the project in addition to the Drought Communities Programme – Extension grant. Where applicable, please indicate the level of additional funding below.

- Your contribution
- Other government funding
- Other non-government funding
- Total

Application Finalisation

Conflict of Interest
Do you have any perceived or existing conflicts of interest to declare?

Supporting Documentation
You should attach any additional supporting documentation here. You should only attach documents that we have requested or you have referred to in your application.

- Costs estimates (quotes or breakdown of costs)
- Project outcomes*

* If required, attach supporting evidence to support how your project will: increase employment in regions by providing work for locals and/or farmers and farm labourers/staff/contractors whose employment opportunities have been affected by drought, improve levels of economic activity in regions, and/or enable better retention of businesses, services and facilities.

Primary Contact Information

Primary Application Contact

- Title
- Given Name
- Family Name
- Position Title
- Email Address
- Phone Number
- Mobile Number
- Primary Address

Application Declaration

Privacy and confidentiality provisions

I acknowledge that this is an Australian Government program and that the department will use the information I provide in accordance with the following:

- Australian Government Public Data Policy Statement,
- Commonwealth Grants Rules and Guidelines,
- Program or Grant Opportunity Guidelines, and
- applicable Australian laws.

Accordingly, I understand that the Department may share my personal information provided in this application within this Department and other government agencies:

1. for purposes directly related to administering the Programme, including governance and research and the distribution of funds to successful applicants and
2. to facilitate research, assessment, monitoring and analysis of other programs and activities unless otherwise prohibited by law.
I understand that where I am successful in obtaining a grant, the financial information that I provide for the purposes of payment will be accessible to departmental staff to enable payments to be made through the department's accounts payable software system.

I understand that information that is deemed 'confidential' in accordance with the Program Guidelines may also be shared for a relevant Commonwealth purpose.

The Department will publish information on individual grants in the public domain, including on the department's website, unless otherwise prohibited by law.

**Applicant declaration**

I declare that I have read and understood the grant opportunity guidelines, including the privacy, confidentiality and disclosure provisions.

I declare that the proposed project outlined in this application and any associated expenditure has been endorsed by the applicant's Board or person with authority to commit the applicant to this project.

I declare that the applicant will comply with, and require that its subcontractors and independent contractors comply with, all applicable laws.

I declare that the information contained in this application together with any statement provided is, to the best of my knowledge, accurate, complete and not misleading and that I understand that giving of false or misleading information is a serious offence under the Criminal Code 1995 (Cth).

I acknowledge that I may be requested to provide further clarification or documentation to verify the information supplied in this form and that the Department of Industry, Innovation and Science (the department) may, during the application process, consult with other government agencies, including state and territory government agencies, about the applicant's claims and may also engage external technical or financial advisors to advise on information provided in the application.

I acknowledge that if the department is satisfied that any statement made in an application is incorrect, incomplete, false or misleading the department may, at its absolute discretion, take appropriate action. I note such action may include excluding an application from further consideration; withdrawing an offer of funding; using the information contained in the application for a fraud investigation that would be consistent with the Australian Government's Investigations Standard and Fraud Control Guidelines and for management purposes and/or terminating any grant agreement between the Commonwealth and the recipient including recovering funds already paid.

I agree to participate in the periodic evaluation of the services undertaken by the department.

I declare that I am authorised to complete this form and acknowledge that by including my name in this application I am deemed to have signed this application.

I approve the information in this application being communicated to the department in electronic form.

By checking this box I agree to all of the above declarations and confirm all of the above statements to be true.

**Submission of application**

When you submit your application, the portal will display your submission reference number that will be your application ID. You can monitor the progress of your application in the portal.